

90 Rec'd PCT/PTO 08 AUG 2000

ATTORNEY'S DOCKET NUMBER

194339US

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/601327

INTERNATIONAL APPLICATION NO.
PCT/FR99/03036INTERNATIONAL FILING DATE
07 DECEMBER 1999PRIORITY DATE CLAIMED
08 DECEMBER 1998

TITLE OF INVENTION

ENCAPSULATED SURFACE ACOUSTIC WAVE COMPONENT AND METHOD OF COLLECTIVE
FABRICATION

APPLICANT(S) FOR DO/EO/US

Agnes BIDARD, et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☐ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

Notice of Priority

PCT/IB/304

PCT/IB/308


Drawings (3 sheets)

Form PTO 1449

List of Related Cases

Cited References (10)

Request For Consideration of Documents Cited in International Search Report

U.S. APPLICATION NO. (IF KNOWN, SEE 09/601327)	INTERNATIONAL APPLICATION NO. PCT/FR99/03036	ATTORNEY'S DOCKET NUMBER 194339US																																																																																												
<p>20. The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</p> <p><input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO \$840.00</p> <p><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00</p> <p><input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00</p> <p><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00</p> <p><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30</p> <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 15%;">CLAIMS</th><th style="width: 20%;">NUMBER FILED</th><th style="width: 20%;">NUMBER EXTRA</th><th style="width: 10%;">RATE</th><th style="width: 10%;"></th><th style="width: 10%;"></th><th style="width: 15%;"></th></tr></thead><tbody><tr><td>Total claims</td><td>14 - 20 =</td><td>0</td><td>x \$18.00</td><td></td><td></td><td>\$0.00</td></tr><tr><td>Independent claims</td><td>1 - 3 =</td><td>0</td><td>x \$78.00</td><td></td><td></td><td>\$0.00</td></tr><tr><td colspan="4">Multiple Dependent Claims (check if applicable).</td><td><input type="checkbox"/></td><td></td><td>\$0.00</td></tr><tr><td colspan="6" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td><td>\$840.00</td></tr><tr><td colspan="6">Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/></td><td>\$0.00</td></tr><tr><td colspan="6" style="text-align: right;">SUBTOTAL =</td><td>\$840.00</td></tr><tr><td colspan="6">Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +</td><td>\$0.00</td></tr><tr><td colspan="6" style="text-align: right;">TOTAL NATIONAL FEE =</td><td>\$840.00</td></tr><tr><td colspan="6">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/></td><td>\$0.00</td></tr><tr><td colspan="6" style="text-align: right;">TOTAL FEES ENCLOSED =</td><td>\$840.00</td></tr><tr><td colspan="6"></td><td style="text-align: right;">Amount to be: refunded \$</td></tr><tr><td colspan="6"></td><td style="text-align: right;">charged \$</td></tr></tbody></table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				Total claims	14 - 20 =	0	x \$18.00			\$0.00	Independent claims	1 - 3 =	0	x \$78.00			\$0.00	Multiple Dependent Claims (check if applicable).				<input type="checkbox"/>		\$0.00	TOTAL OF ABOVE CALCULATIONS =						\$840.00	Reduction of 1/2 for filing by small entity, if applicable. 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A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"><div style="text-align: right;">NEUSTADT, P.C.</div><div style="text-align: center;"> 22850</div><div>Telephone Number (703) 413-3000 Facsimile Number (703) 413-2220</div><div style="text-align: right;">Surinder Sachar Registration No. 34,423</div></div>	
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SIGNATURE

Marvin J. Spivak
NAME

24,913
REGISTRATION NUMBER

Aug. 8, 2000
DATE

DOCKET NO. 194339US

IN RE APPLICATION OF: Agnes BIDARD, et al

SERIAL NO.: NEW U.S. PCT APPLICATION (based on PCT/FR99/03036)

FILED: HEREWITH

FOR: ENCAPSULATED SURFACE ACOUSTIC WAVE COMPONENT AND METHOD
OF COLLECTIVE FABRICATION

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement submitted herewith.
- ☒ Additional documents filed herewith: English translation/Declaration/Notice of Priority/Check for \$840.00/Drawings (3 sheets)/PCT Transmittal Letter/Preliminary Amendment/PCT/IB/304/PCT/IB/308 List of Related Cases/Information Disclosure Statement/Form PTO 1449/Cited References (10) International Search Report/Request for Consideration of Documents Cited in International Search Report

The fee has been calculated as shown below.

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
TOTAL	* 14	MINUS	** 20	= 0	X9 =	\$	X18 =	\$.00
INDEP	* 1	MINUS	*** 3	= 0	X39 =	\$	X78 =	\$.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					+130=	\$	+260=	\$
TOTAL						\$	TOTAL	\$.00

A check in the amount of \$_____ is attached.

- XX Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- XX If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.



22850

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Marvin J. Spivak
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Surinder Sachar
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*If the entry in Column 2 is less than the entry in Column 1 write "0" in Column 3.
**If the "Highest Number Previously paid for" IN THIS SPACE is less than 20 write "20" in this space.
***If the "Highest Number Previously paid for" IN THIS SPACE is less than 3 write "3" in this space.

patent application serial no.

*Department of Commerce
Patent and Trademark Office
fee record*

08/10/2000 SSALEEK1 00000021 09601327

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840.00 DP